

FORM PTO-1390 (Modified) U.S. PATENT AND TRADEMARK OFFICE; U.S. DEPARTMENT OF COMMERCE  
(REV. 12-2004)

**TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A SUBMISSION UNDER 35 U.S.C. 371**

ATTORNEY'S DOCKET NUMBER

05-194

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

**10/528100**INTERNATIONAL APPLICATION NO.  
**PCT/GB03/004001**INTERNATIONAL FILING DATE  
**17 September 2003**PRIORITY DATE CLAIMED  
**20 September 2002**

## TITLE OF INVENTION

**Underwater Location Apparatus**

## APPLICANT(S) FOR DO/EO/US

**1) Jonathan James Davies 2) Shaun Michael Dunn 3) Peter James Rapson 4) Stephen Arthur Pointer**

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a submission under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a submission under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (24) indicated below.
4. ☐ The US has been elected (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371 (c)(2))
  - a. ☒ is attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ has been communicated by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
  - a. ☐ is attached hereto.
  - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
  - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ have been communicated by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☐ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).
11. ☒ A copy of the International Preliminary Examination Report (PCT/IPEA/409).
12. ☒ A copy of the International Search Report (PCT/ISA/210).

## Items 13 to 23 below concern document(s) or information included:

13. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
14. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
15. ☒ A **FIRST** preliminary amendment.
16. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
17. ☐ A substitute specification.
18. ☐ A power of attorney and/or change of address letter.
19. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.
20. ☐ A second copy of the published International Application under 35 U.S.C. 154(d)(4).
21. ☐ A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4).
22. ☒ Express Mail Label No. **EV565834373US**
23. ☒ Other items or information:

**Application Data Sheet; 8 pages of Drawings; Filing Fee Check; Postcard**

07/19/2005 PROOKER 0010580200  
 Name Number: 10528100  
 \$100.00 CR  
 FC: 9204

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

|  |              |  |            |   |            |
|--|--------------|--|------------|---|------------|
| U.S. APPLICATION NO (if known, see 37 CFR 1.5)<br><b>10/528100</b>   |              | INTERNATIONAL APPLICATION NO.<br><b>PCT/GB03/00401</b>                 |            | ATTORNEY'S DOCKET NUMBER<br><b>05-194</b>   |            |
| 24. The following fees are submitted:  |              |  |            | Applicant use   | Office use |
| <input checked="" type="checkbox"/> a) Basic national fee . . . . . \$300.00   |              |  |            | \$ 300.00   |            |
| <input checked="" type="checkbox"/> b) Examination fee . . . . . \$200.00  |              |  |            | \$ 200.00   |            |
| <input checked="" type="checkbox"/> c) Search fee . . . . . \$500.00   |              |  |            | \$ 500.00   |            |
| <b>TOTAL OF ABOVE CALCULATIONS = \$1000.00</b>   |              |  |            | \$ 1,000.00   |            |
| <input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.   |              |  |            |   |            |
| Total Sheets   | Extra Sheets | Number of each additional 50 or fraction thereof (round up to a whole) | RATE       |   |            |
| 33 - 100 =   | -67 /50 =    | 0  | x \$250.00 | \$ 0.00   |            |
| Surcharge of \$130.00 for furnishing the oath or declaration later than months from the earliest claimed priority date (37 CFR 1.492(e)).  |              |  |            | \$  |            |
| CLAIMS   | NUMBER FILED | NUMBER EXTRA   | RATE       |   |            |
| Total claims   | 27 - 20 =    | 7  | x \$50.00  | \$ 350.00   |            |
| Independent claims   | 3 - 3 =      | 0  | x \$200.00 | \$ 0.00   |            |
| MULTIPLE DEPENDENT CLAIMS (if applicable) <input type="checkbox"/> + \$360.00  |              |  |            | \$ 0.00   |            |
| <b>TOTAL OF ABOVE CALCULATIONS =</b>   |              |  |            | \$ 1,350.00   |            |
| <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.   |              |  |            | \$ 0.00   |            |
| <b>SUBTOTAL =</b>  |              |  |            | \$ 1,350.00   |            |
| Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).  |              |  |            | \$ 0.00   |            |
| <b>TOTAL NATIONAL FEE =</b>  |              |  |            | \$ 1,350.00   |            |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40 per property +  |              |  |            | \$ 0.00   |            |
| <b>TOTAL FEES ENCLOSED =</b>   |              |  |            | \$ 1,350.00   |            |
| Amount to be refunded:   |              |  |            |   | \$         |
| Amount to be charged:  |              |  |            |   | \$         |
| a. <input checked="" type="checkbox"/> A check in the amount of \$ <b>\$1,350.00</b> to cover the above fees is enclosed.<br>b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of _____ to cover the above fees. A duplicate copy of this sheet is<br>c. <input checked="" type="checkbox"/> The Director is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <b>13-2490</b> . A duplicate copy of this sheet is enclosed.<br>d. <input type="checkbox"/> Fees are to be charged to a credit card. <b>WARNING:</b> Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. |              |  |            |   |            |
| NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.   |              |  |            |   |            |
| SEND ALL CORRESPONDENCE TO:<br><b>A. Blair Hughes</b><br><b>McDonnell Boehnen Hulbert &amp; Berghoff LLP</b><br><b>300 S. Wacker Drive</b><br><b>Chicago, IL 60606</b>   |              |  |            |   |            |
| Dated: March 16, 2005  |              |  |            | SIGNATURE<br><b>A. Blair Hughes</b><br>NAME <b>Kenly, Ref: 07/19/2005 PBOOKER 0010500200</b><br><b>32,901</b> <b>FC: 9204</b> <b>Name/Number: 10528100</b> <b>\$100.00 CR</b> |            |
| REGISTRATION NUMBER  |              |  |            |   |            |

**CERTIFICATE OF MAILING BY "EXPRESS MAIL"**

Express Mail No. EV565834373US

Deposited: March 16, 2005

I hereby certify that the attached correspondence, identified below, is being deposited with the United States Postal Service as "Express Mail Post Office to Addressee" under 37 CFR § 1.10 on the date indicated above and is addressed to the Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

By: 

(person *actually* depositing)

Patent Application of: Davies et al.

Title: Underwater Location Apparatus

- ☒ Copy of International Application
- ☒ Transmittal Letter to the United States Designated/Elected Office (DO/EO/US)
- ☒ Postcard
- ☒ Copy of International Search Report
- ☒ Copy of International Preliminary Examination Report
- ☒ Check
- ☒ Application Data Sheet
- ☒ Preliminary Amendment
- ☒ 8 Sheets of Drawings
- ☒ Executed Declaration/Power of Attorney

Attorney Docket No.: 05-194